

Synthesis of phthalates and isophthalates by [3+3] cyclizations of 1,3-bis(silyl enol ethers) with 3-(silyloxy)alk-2-en-1-ones

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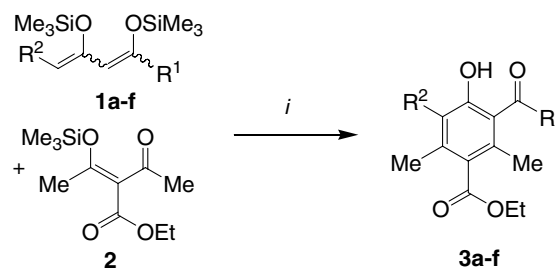
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Abstract—3-Hydroxyphthalates and 4-hydroxyisophthalates were prepared by sequential [3+3] cyclization reactions of 1,3-bis(silyl enol ethers) with 2- and 3-alkoxycarbonyl-3-(silyloxy)alk-2-en-1-ones.

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Phthalates¹ and isophthalates² occur in a number of pharmacologically relevant natural products and represent important synthetic building blocks. The synthesis of these compounds mainly relies on the oxidation of suitable benzene derivatives.^{1,2} Some years ago, Chan and co-workers reported³ an elegant approach to salicylates based on [3+3] cyclizations of 1,3-bis(silyl enol ethers) with 2-acetyl-1-(silyloxy)but-1-en-3-one.^{5a} Herein, we report, for the first time, the synthesis of 3-hydroxyphthalates and 4-hydroxyisophthalates by [3+3] cyclizations of 1,3-bis(silyl enol ethers) with 2- and 3-alkoxycarbonyl-3-(silyloxy)alk-2-en-1-ones, respectively. The products are not readily available by classic methods.

3-Ethoxycarbonyl-4-(silyloxy)alk-3-en-2-one (**2**) was prepared by silylation of ethyl 2-(acetyl)acetoacetate. The TiCl₄ mediated [3+3] cyclization of **2** with 1,3-bis(silyl enol ethers) **1a–d** afforded the novel functionalized isophthalates **3a–d** in moderate to good yields (Scheme 1, Table 1).⁶ The 4-hydroxy-3-acylbenzoates **3e** and **3f** were prepared by cyclization of **2** with 1,3-bis(silyl enol ethers) **1e** and **1f** (available from acetylacetone and benzoylacetone), respectively.



Scheme 1. Synthesis of isophthalates **3a–f**. Reagents and conditions: (i) TiCl₄, CH₂Cl₂, -78→20 °C.

Table 1. Products and yields

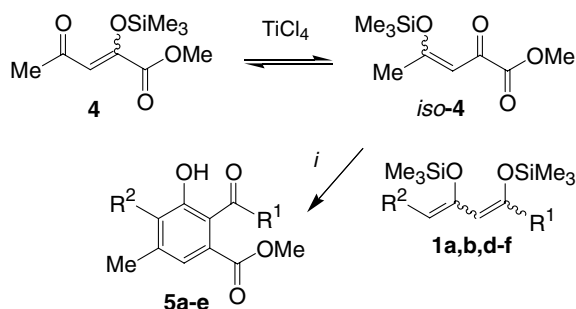
3	R ¹	R ²	% (3) ^a
a	OMe	H	30
b	OMe	OMe	65
c	OEt	Me	48
d	OEt	Et	50
e	Me	H	40
f	Ph	H	36

^a Yields of isolated products.

Methyl 4-oxo-2-(silyloxy)pent-2-enoate **4** was prepared by silylation of methyl acetylpyruvate. The TiCl₄ mediated [3+3] cyclization of **4** with 1,3-bis(silyl enol ethers) **1a,b,d** afforded the novel functionalized phthalates **5a–c** (Scheme 2, Table 2). The 3-hydroxy-2-acylbenzoates **5d** and **5e** were prepared by cyclization of **4** with 1,3-bis(silyl enol ethers) **1e** and **1f**, respectively. Chan and co-workers proposed that [3+3] proceed by conjugate addition of the terminal carbon atom of the 1,3-bis(silyl

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Scheme 2. Synthesis of phthalates **5a–e**. Reagents and conditions: (i) TiCl_4 , CH_2Cl_2 , $-78 \rightarrow -20^\circ\text{C}$.

Table 2. Products and yields

5	R^1	R^2	% (5) ^a
a	OMe	H	17
b	OMe	OMe	45
c	OEt	Et	22
d	Me	H	34
e	Ph	H	41

^a Yields of isolated products.

enol ether) onto the 3-(silyloxy)alk-2-en-1-one and subsequent cyclization. The regioselective formation of **5a–e** can be explained by TiCl_4 mediated isomerization of **4** into *iso-4* and subsequent conjugate addition of the terminal carbon atom of the 1,3-bis(silyl enol ether) onto *iso-4*. The yield of pure **5a** is relatively low, since the regioisomeric product had to be separated (12% yield). In all reactions, 1,3-dicarbonyl compounds were isolated, which were formed by hydrolysis of the corresponding 1,3-bis-silyl enol ethers **1**. This result shows that the latter was not completely consumed during the reaction, which can be explained by partial decomposition or hydrolysis of silyl enol ethers **2** and **4**.

In conclusion, functionalized phthalates and isophthalates were prepared by [3+3] cyclizations of 1,3-bis(silyl enol ethers) with novel 3-(silyloxy)alk-2-en-1-ones.

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- Typical procedure for the synthesis of phthalates **3** and isophthalates **5**: To a stirred CH_2Cl_2 solution (2.5 mL) of **2** (305 mg, 1.25 mmol) was added 1,3-bis(trimethylsilyloxy)-1,4-dimethoxy-1,3-pentadiene (**1b**) (363 mg, 1.25 mmol) at -78°C under argon atmosphere. Subsequently, TiCl_4 (0.14 mL, 1.25 mmol) was added. The temperature of the reaction mixture was allowed to rise to 20°C during 20 h. The solution was poured into an aqueous solution of HCl (10%). The organic and the aqueous layer were separated and the latter was extracted (3 \times) with CH_2Cl_2 . The combined organic layers were dried (Na_2SO_4), filtered and the filtrate was concentrated in vacuo. The residue was purified by chromatography (silica gel, *n*-heptane/EtOAc = 9:1) to give **3b** as a yellow oil (230 mg, 65%). Silyl enol ethers **2** and **4** could not be prepared in pure form. They were used as crude material. $^1\text{H NMR}$ (250 MHz, CDCl_3): δ = 1.39 (t, 3J = 7.3 Hz, 3H, OCH_2CH_3), 2.23 (s, 3H, CH_3), 2.41 (s, 3H, CH_3), 3.97 (s, 3H, OCH_3), 3.98 (s, 3H, OCH_3), 4.38 (q, 3J = 7.3 Hz, 2H, OCH_2CH_3), 11.30 (s, 1H, OH). $^{13}\text{C NMR}$ (75 MHz, CDCl_3): δ = 13.3, 14.2, 19.8 (CH_3), 52.4, 60.1 (OCH_3), 61.3 (OCH_2CH_3), 111.8, 128.4, 131.7, 134.0, 144.7 (C_{Ar}), 156.1 (C–O), 169.5, 171.7 (O=C=O). IR (neat, cm^{-1}): $\tilde{\nu}$ = 3422 (br, w), 2982 (m), 2957 (m), 2939 (m), 2838 (s), 1726 (s), 1683 (s), 1600 (m), 1578 (m). MS (EI, 70 eV): m/z (%) = 282 (M^+ , 35), 250 (93), 237 (23), 222 (100), 205 (26), 194 (43). Anal. Calcd for $\text{C}_{14}\text{H}_{18}\text{O}_6$ (282.29): C, 59.57; H, 6.43. Found: C, 59.65; H, 6.44.